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PTO/SB/21 (09-04)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/809,885
	Filing Date	March 16, 2001
	First Named Inventor	John L. Margrave, et al.
	Art Unit	1754
	Examiner Name	Stuart L. Hendrickson
Total Number of Pages in This Submission	Attorney Docket Number	11321-P013D2 (formerly 11321-P026US)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John L. Margrave et al.

For: FUNCTIONALIZED

SINGLE-WALL CARBON NANOTUBES

Atty Dkt: 11321-P013D2

(formerly 11321-P026US)

§ Serial No: 09/809,885

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§ Filed: March 16, 2001

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§ Group Art Unit: 1754

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§ Confirmation Number: 7715

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§ Examiner: Stuart L Hendrickson

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## CERTIFICATE OF MAILING

This document is being deposited with the U.S. Postal Service as First Class Mail with sufficient postage, in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on January 28, 2006.

*Beatrice Zepeda*  
BEATRICE ZEPEDA

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**AMENDMENT UNDER 37 C.F.R. § 1.111**

Dear Sir:

This is in response to the Office Action having a mailing date of October 27, 2005 ("Office Action"), with a three-month shortened statutory period for response set to expire on January 27, 2006.

**Amendments to the Claims** are shown in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.